

**RECEIVED  
CENTRAL FAX CENTER****FEB 22 2005**

Date: February 22, 2005

No. of Sheets (including cover): 12

TO: Legal Instruments Examiner D. Wyatt  
Patent Examiner G. Gurshman  
Phone:  
Fax: (703) 872-9306

From: Anne Vachon Dougherty, Esq.  
3173 Cedar Road  
Yorktown Hts, NY 10598  
Phone (914) 962-5910  
Fax (914) 962-1973

Subject: Patent Application  
Docket No: JP919990035  
Serial No: 09/619,331

Comments: Response to Notice of Non-Compliant Amendment attached

**THE CONTENTS OF THIS TRANSMISSION ARE CONFIDENTIAL AND  
PRIVILEGED AND INTENDED SOLELY FOR VIEWING BY THE  
ADDRESSEE. IF YOU HAVE RECEIVED THIS TRANSMISSION IN  
ERROR, PLEASE CONTACT TRANSMITTER AT THE ABOVE-LISTED  
TELEPHONE NUMBER. DO NOT RE-TRANSMIT!!!**



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
P.O. Box 1450  
ALEXANDRIA, VA 22313-1450  
www.uspto.gov

## Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 11-22-04 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required. **Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted.** 37 CFR 1.121(h).

THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_
- ☐ 3. Amendments to the drawings: \_\_\_\_\_
- ☒ 4. Amendments to the claims:
- ☒ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following 7 status identifiers: (Original), (Currently amended), (Canceled), (Withdrawn), (Previously presented), (New) and (Not entered).
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: \_\_\_\_\_

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officellver.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given **ONE MONTH** from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this **ONE MONTH** time limit is not extendable.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a **TIME PERIOD** of **ONE MONTH** from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

Dugan  
Legal Instruments Examiner (LIE)

571-272-3621  
Telephone No.

**RECEIVED**  
**CENTRAL FAX CENTER****FEB 22 2005**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED VIA FAX TO (703)872-9306  
ON DATE OF DEPOSIT: February 22, 2005  
PERSON TRANSMITTING: ANNE VACHON DOUGHERTY

*Anne Vachon Dougherty 2/22/05*  
Signature & Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of	:	February 22, 2005
H. Horikoshi, et al	:	Group Art No.: 2857
Serial No. 09/619,331	:	Examiner: G. Gurshman
Filed: July 19, 2000	:	for IBM Corporation
Title: COMPUTER WITH SECURITY FUNCTION AND METHOD THEREFOR	:	Anne Vachon Dougherty 3173 Cedar Road Yorktown Hts, NY 10598

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

Commissioner for Patents  
Sir:

In response to the Notice of Non-Compliant Amendment dated January 21, 2005 for the above-identified patent application, Applicants re-submit the following section of the Amendment filed November 22, 2004:

**Amendments to the Claims** as reflected in the Listing of Claims which begins on page 2.

JP919990035

-1-